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| TYPE OF AUDIT CONDUCTED: |
| Producer Co-op Certification | Company Registration  | Follow-up | Annual | Control |
| Name of audited SPP Member**:**   |
| ASSESSMENT OF PLANNING  |
| Was risk assessment procedure properly followed? | Yes  | No |
| Auditor's Technical Performance  | Good  | Inadequate |
| REVIEW OF AUDIT WORKPAPERS |
| Ordinary Desktop Procedure | Complete On-Site Procedure | Complete Remote Procedure |
| Certification/Registration audit request  |  | Certification/Registration audit request  |  |
| Objections, if any, were dealt with. |  | Objections, if any, were dealt with. |  |
| Requested Assessment Form and Attachments were submitted. |  | Requested Assessment Form and Attachments were submitted. |  |
| Non-compliance and corrective actions report, if any, was dealt with.  |  | Evaluation plan |  |
| Evaluation Report and Annexes. |  | Non-compliance and corrective actions report, if any, was dealt with.  |  |
| Other:  |  | Evaluation Report and Annexes. |  |
| Other: |  |
| CERTIFICATION / REGISTRATION DECISION |
| Option 1Positive | Option 2Follow-up audit needed. | Option 3Negative | Option 4Suspension | Option 5Cancellation |
| Positive | A follow-up audit needs to be conducted | Evidence was not sufficient to prove compliance with the SPP General Standard criteria in question.  | Corrective actions were not executed | The non-conformities that lead to suspension were not solved.  |

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| DATE OF CERTIFICATION / REGISTRATION DECISION |
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| NAME(S) AND SIGNATURE(S) OF CERTIFICATION OFFICER(S) |
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