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| TYPE OF AUDIT CONDUCTED: | | | | | | | | | | | | | | |
| Producer Co-op Certification | | Company Registration | | | Follow-up | | | | Annual | | | | Control | |
| Name of audited SPP Member**:** | | | | | | | | | | | | | | |
| ASSESSMENT OF PLANNING | | | | | | | | | | | | | | |
| Was risk assessment procedure properly followed? | | | | | | | | Yes | | | | No | | |
| Auditor's Technical Performance | | | | | | | | Good | | | | Inadequate | | |
| REVIEW OF AUDIT WORKPAPERS | | | | | | | | | | | | | | |
| Ordinary Desktop Procedure | | | Complete On-Site Procedure | | | | | | | | Complete Remote Procedure | | | |
| Certification/Registration audit request | | | | | |  | Certification/Registration audit request | | | | | | |  |
| Objections, if any, were dealt with. | | | | | |  | Objections, if any, were dealt with. | | | | | | |  |
| Requested Assessment Form and Attachments were submitted. | | | | | |  | Requested Assessment Form and Attachments were submitted. | | | | | | |  |
| Non-compliance and corrective actions report, if any, was dealt with. | | | | | |  | Evaluation plan | | | | | | |  |
| Evaluation Report and Annexes. | | | | | |  | Non-compliance and corrective actions report, if any, was dealt with. | | | | | | |  |
| Other: | | | | | |  | Evaluation Report and Annexes. | | | | | | |  |
| Other: | | | | | | |  |
| CERTIFICATION / REGISTRATION DECISION | | | | | | | | | | | | | | | | |
| Option 1  Positive | Option 2  Follow-up audit needed. | | | Option 3  Negative | | | | | | Option 4  Suspension | | | Option 5  Cancellation | |
| Positive | A follow-up audit needs to be conducted | | | Evidence was not sufficient to prove compliance with the SPP General Standard criteria in question. | | | | | | Corrective actions were not executed | | | The non-conformities that lead to suspension were not solved. | |

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| DATE OF CERTIFICATION / REGISTRATION DECISION |
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| NAME(S) AND SIGNATURE(S) OF CERTIFICATION OFFICER(S) |
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